## An Ethical Framework for Trustworthy Neural Rendering applied in Cultural Heritage and Creative Industries

• UNESCO's Recommendation on AI and

**Guidelines for CCI** 

Intellectual property

vision robotics artificial intelligence

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### ntroduction

#### **Motivations**

- Artificial Intelligence (AI) advancements have impacted various sectors, including Cultural (CH) Heritage Creative and Industries (CI)
- Neural Rendering (NR) techniques, such as Neural Radiance Fields and **3D** Gaussian (NeRFs) (3DGS), **Splatting** improve digitization of 3D objects from 2D images
- concerns remain largely unexplored, particularly around the authenticity, intellectual property, decision data-driven NR-generated implications content.

#### **Key Objectives**

- Identify ethical pitfalls of NR paradigms in CCI
- Design an **ethical framework** based on global guidelines
- Provide multidisciplinary guidelines for developing NR solutions

# Acknowledgements -



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# Methodology Framework



**POLICY FRAMEWORKS FOR NR IN CCI ETHICAL RISKS & PRINCIPLES RELIABILITY TRANSPARENCY FAIRNESS**  Al European Commission Documents Assessment List for Trustworthy Artificial Intelligence (ALTAI) **SUSTAINABILITY RESPONSIBILITY** • International Council of Museums code of

**TRUSTWORTHINESS** 

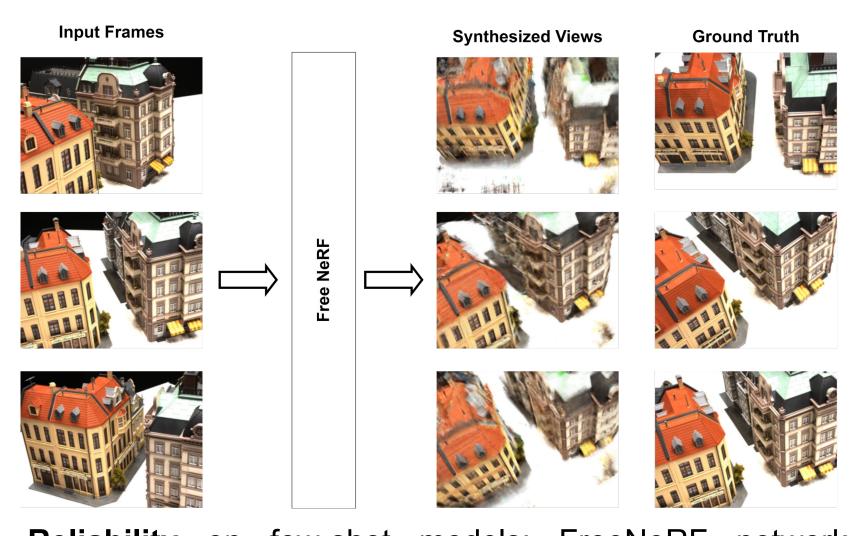
• Adhere to legal and intellectual property frameworks and protect data integrity.

### **Ethical Principles in NR**

- Transparency and Explainability: Clear communication of processes, algorithms, and outcomes
- Reliability: Accuracy and consistency of NR reconstructions
- Trustworthiness: Stability and generalization in various environments
- Sustainability: Minimizing environmental impact
- Fairness: Ensuring unbiased and fair results
- Responsibility: Ethical data ownership and authenticity

# (III)—Results

<b>Ethical Principle</b>	Challenges	Technical Risks	Possible Solutions
Transparency and Explainability	Understanding complex AI models and validate data collection process	<ul> <li>Lack of interpretability</li> <li>Missing description of data collection steps</li> <li>Lack of controllability for erroneous reconstructions</li> </ul>	<ul> <li>Provide clear and detailed documentation of data collection processes</li> <li>Use visual and interpretative methods to make model decisions understandable</li> <li>Maintain open communication about processes and outcomes to stakeholders.</li> </ul>
Reliability	Ensuring accuracy of reconstructions	<ul> <li>Inconsistent outputs due to few or one-shot</li> <li>Hard camera estimation due to data scarcity</li> <li>Novel view synthesis and geometrical outputs with low veridicity</li> <li>Bias of pre-trained NR methods</li> </ul>	<ul> <li>Establish rigorous testing protocols to ensure accuracy and consistency</li> <li>Collect comprehensive data, ideally 50-150 images per object</li> <li>Conduct bias analysis on training data and pre-trained models.</li> </ul>
Trustworthiness	Demonstrating stability and generalization in different (social) environments	<ul> <li>Lack of visual generalization</li> <li>Inconsistent Geometrical Representation</li> <li>Missing social considerations into the system's functionality</li> </ul>	<ul> <li>Develop frameworks to demonstrate model reliability and stability</li> <li>Integrate social considerations and collaborate with domain experts.</li> </ul>
Sustainability	Minimizing environmental impact	<ul> <li>High computational demand</li> <li>Energy cost to create and maintain a capture setting</li> </ul>	<ul> <li>Optimize model architectures and training processes to reduce energy consumption</li> <li>Use renewable energy and energy-efficient hardware</li> <li>Implement protocols to minimize the number of cameras and optimize GPU usage.</li> </ul>
Fairness	Unbiased and fair results	<ul> <li>Biased NR prior knowledge</li> <li>Artifacts caused by NR paradigms which exploit regularization, synthetic generation or ignore high-frequency details</li> </ul>	<ul> <li>Use auxiliary networks to detect and correct biases</li> <li>Ensure datasets are diverse in culture, periods, and regions</li> <li>Enhance model architectures and optimization strategies</li> </ul>
Responsibility	Ethical data ownership an authenticity	Misuse of generated data     Accountability for unfaithful generation	<ul> <li>Follow ethical data ownership and secure handling protocol</li> <li>Implement validation protocols to ensure data authenticity</li> </ul>



Reliability on few-shot models: FreeNeRF network trained on 3 images from the DTU dataset with the same setting provided by the original authors and 3 synthesized novel views compared against their ground truths